A transversely isotropic medium with a tilted symmetry axis normal to the reflector

Tariq Alkhalifah\textsuperscript{1} and Paul Sava\textsuperscript{2}

ABSTRACT

The computational tools for imaging in transversely isotropic media with tilted axes of symmetry (TTI) are complex and in most cases do not have an explicit closed-form representation. Developing such tools for a TTI medium with tilt constrained to be normal to the reflector dip (DTI) reduces their complexity and allows for closed-form representations. The homogeneous-case zero-offset migration in such a medium can be performed using an isotropic operator scaled by the velocity of the medium in the tilt direction. For the non-zero-offset case, the reflection angle is always equal to the incidence angle, and thus, the velocities for the source and receiver waves at the reflection point are equal and explicitly dependent on the reflection angle. This fact allows for the development of explicit representations for angle decomposition as well as moveout formulas for analysis of extended images obtained by wave-equation migration. Although setting the tilt normal to the reflector dip may not be valid everywhere (i.e., on salt flanks), it can be used in the process of velocity model building, in which such constrains are useful and typically are used.

INTRODUCTION

In recent years and with the increasing emphasis on high resolution and the availability of better computing devices, anisotropic media treatment of seismic data is becoming part of normal operations rather than the exception. This preference is fueled by the recent observed improvements in, for example, Gulf of Mexico images when anisotropy is included in the process (Zhou et al., 2004; Huang et al., 2008). A special anisotropy, a transversely isotropic medium with a tilt in the axis of symmetry, is especially convenient in approximating the features of the medium in such regions and provides good imaging results.

Anisotropy characterization comes in many varieties approximating all sorts of phenomena present in the subsurface by introducing directional preferences in the velocity field that accommodate such phenomena. Whether we are dealing with the natural processes of sedimentation and gravity, especially in shales, or the ever localized vertical fractures (some also nonvertical), we can find an anisotropy that will approximate the influence of the processes and will produce wavefields that can accurately represent wave propagation in such media.

The inclusion of anisotropy into the imaging and velocity model-building process evolved through the years. As expected, we started by looking into using the simplest of the anisotropies, i.e., elliptical anisotropy, to handle depth shortcomings of the isotropic assumption (Ball, 1995; Ohlsen and MacBeth, 1999) despite its impracticality. However, the size of the consistent nonhyperbolicity forced some researchers to use a slightly more complex yet more practical model (Alkhalifah and Larner, 1994), i.e., the transversely isotropic (TI) media with a vertical symmetry axis (VTI). Although this model proved to be resilient in many areas of the subsurface (Alkhalifah, 1997; Martinez and Lee, 2002), the dips of layers near salt flanks seemingly required additional degrees of freedom provided by the tilt of the symmetry axis in the transversely isotropic medium (Isaac and Lawton, 2004).

The vertical and NMO velocities as well as the nonhyperbolic dimensionless parameter $\eta$ define the anisotropy aspects of the TI model for P-waves, at least to the accuracy required for prestack imaging (Alkhalifah and Tsvankin, 1995). If the symmetry axis is vertical, no other parameters are needed to define the TI model. However, because the stratification in the earth’s subsurface is not always horizontal, we can expect the symmetry axis to have some deviation from the vertical, especially around salt-body flanks. For TI media with a tilt in the axis of symmetry, two additional parameters that describe the tilt in three dimensions are needed to fully characterize acoustic wave propagation. These two parameters are often estimated by assuming that the tilt direction is normal to the medium structure or in the direction of the velocity gradient (Alkhalifah and Bednar, 2000; Audebert et al., 2006).
Setting the tilt normal to the dip direction has been convenient and practical. Audebert et al. (2006) realized through numerical experimentation that constraining the tilt of the symmetry axis to the structure, in what they referred to as structurally conformable TI (STI) media, results in simplifications in the parameter dependency in which the short-spread focusing becomes decoupled from long-spread behavior. In fact, setting the tilt normal to the dip results in simplified equations for data analysis, as we discuss here.

In this paper, we show explicitly that when we constrain the tilt to be normal to the reflector dip, the behavior of plane waves around the scattering point is explicitly represented by closed-form relations. In fact, the reflection angles for the source and receiver rays are always equal. Thus, the key is to include this constraint as part of the process, whether it is migration or angle-gather development. As a result, we call the medium dip-constrained TI (DTI) to stress the concept of using this constraint as part of the process as opposed to relating it to the structure of the model. We show that key equations are simplified by this constraint, which will help in boosting the efficiency of such processes. In fact, the DTI model makes processes such as angle-gather decomposition that depend on development around the scattering point simpler than the VTI model.

DIP-CONSTRAINED TTI MEDIA

To appreciate the simplification attained from this constraint, we initially restrict our discussions to a homogeneous medium. In this case, the zero-offset isochron, which is representative of the equal traveltime surface, is spherical in shape, equivalent to the isotropic medium isochron, with a radius governed by the velocity in the tilt direction \( v_T \) as follows:

\[
r(\mathbf{x}) = v_T t(\mathbf{x}),
\]

where \( t \) is the time along the wavefront and \( \mathbf{x} = (x,y,z) \) represents space coordinates. This convenient assertion is true only if we constrain the tilt axis to the direction normal to the reflector dip, and thus the group velocity equals the phase velocity equals the velocity along the tilt. Figure 1a shows a schematic plot of the zero-offset isochron with two representative examples of tilt direction that are constrained to be orthogonal to the isochron surface. Although such a medium does not physically exist, it is assumed here in the context of a process, and thus what matters is the local action of the isochron on the reflection, which is similar to the isotropic case.

For the nonzero-offset case, the traveltime isochron is constrained by the double-square-root (DSR) formula (Claerbout, 1995). Thus, the total traveltime \( t \) is a combination of traveltimes from source \( s \) located at \( (s_x,s_y) \) and receiver \( r \) located at \( (r_x,r_y) \) to an image point in the subsurface at location \( \mathbf{x} \) and is given by the expression

\[
t = \sqrt{\frac{(s_x-x)^2 + (s_y-y)^2 + z^2}{v_1^2(\phi)}} + \sqrt{\frac{(r_x-x)^2 + (r_y-y)^2 + z^2}{v_2^2(\phi)}},
\]

where \( v_1(\phi) \) is the group velocity as a function of group angle \( \phi \). From Figure 1b and considering for simplicity that the incident and reflected rays are confined to the vertical plane, \( \phi \) can be evaluated geometrically as follows:

\[
\phi = \frac{1}{2} \cos^{-1} \frac{z}{\sqrt{(s_x-x)^2 + (s_y-y)^2 + z^2}} + \frac{1}{2} \cos^{-1} \frac{z}{\sqrt{(r_x-x)^2 + (r_y-y)^2 + z^2}}.
\]

Otherwise, we have to project the angles to the plane that constrains the incident and reflected rays. However, evaluating \( v_1(\phi) \) in complex media is complicated when there is no closed-form representation. An alternative is to rely on the phase angle by using plane waves and the Fourier decomposition.

If we reformulate the DSR equation in terms of changes in time and thus focus on the plane-wave relation, we end up with the following DSR formula:

\[
\frac{\partial t}{\partial z} = \sqrt{-\frac{1}{v_1^2(\theta)}} - \left( \frac{\partial t}{\partial r} \right)^2 + \sqrt{-\frac{1}{v_2^2(\theta)}} - \left( \frac{\partial t}{\partial s} \right)^2,
\]

where now \( v \) is the phase velocity and has a closed-form representation in terms of the phase angle \( \theta \) given by the acoustic approximation (Alkhalifah, 1998) as follows:

\[
v^2(\theta) = \frac{1}{2}(v^2(2\eta + 1)\sin^2 \theta + v_T^2 \cos^2 \theta)
\]

\[+ \frac{1}{4} \sqrt{a \sin^4 \theta + b \sin^2(2\theta) + c \cos^4 \theta},
\]

where \( a = 4v^4(2\eta + 1)^2, b = 2pv_1^2(1 - 2\eta)^2, c = 4v_1^4 \), \( v \) is the NMO velocity with respect to the tilted symmetry axis, and \( \eta \) is the anisotropy parameter relating the NMO velocity to the velocity normal to the tilt. The angle \( \theta \) in equation 4 is measured from the tilt direction and will also be given by the angle gather as part of the process of downward continuation, as we will see later.

Thus, in the nonzero-offset case, the isochron depends on angle, but it is a single angle for both source and receiver rays, and we do...
not have to worry about relating the two angles, as is the case in VTI and general TTI media. This provides us with analytical relations for plane waves at the reflection point. In this case, both the source and receiver waves have the same wave-group velocity that differs along the nonzero-offset isochron. In fact, for the zero-dip part of the isochron, the reflection angle is at its maximum, reducing to zero for a vertical reflector, as seen in Figure 1b.

Next we formulate the extended imaging condition, necessary for angle-gather development, for the DTI model. As we will see later, angle gatherers are also necessary for an explicit formulation of downward continuation in a DTI model.

EXTENDED IMAGING CONDITION

Conventional seismic imaging methods share the assumption of single scattering at discontinuities in the subsurface. Under this assumption, waves propagate from seismic sources, interact with discontinuities, and return to the surface as reflected seismic waves. We commonly speak about a “source” wavefield, originating at the seismic source and propagating in the medium prior to any interaction with discontinuities, and a “receiver” wavefield, originating at discontinuities and propagating in the medium to the receivers (Berkhout, 1982; Claerbout, 1985). The two wavefields kinematically coincide at discontinuities. Any mismatch between the wavefields indicates inaccurate wavefield reconstruction, typically assumed to have been caused by inaccurate velocity. In this context, we do not need to make geometric assumptions about upgoing or downgoing propagation because waves can move in any direction as long as they scatter only once. We also do not need to make any assumption about how we reconstruct those two wavefields as long as the wave equation used accurately describes wave propagation in the medium under consideration.

We can formulate imaging as a process involving the wavefield reconstruction and the imaging condition. The key elements in this imaging procedure are the source and receiver wavefields $u_s$ and $u_r$, which depend on space $x$ and time $t$. A conventional crosscorrelation imaging condition based on the reconstructed wavefields can be formulated as the zero lag of the crosscorrelation between the source and receiver wavefields (Claerbout, 1985),

$$ r(x) = \sum_{\text{shots}} \sum_{\omega} u_s(x, \omega) u_r(x, \omega), $$

where $r$ represents the migrated image and the overline represents complex conjugation. This operation exploits the fact that portions of the wavefields match kinematically at subsurface positions where discontinuities occur.

An extended imaging condition preserves certain acquisition (e.g., source or receiver coordinates) or illumination (e.g., reflection angle) parameters in the output image (Clayton and Stolt, 1981; Claerbout, 1985; Stolt and Weglein, 1985; Weglein and Stolt, 1999). In shot-record migration, the source and receiver wavefields are reconstructed on the same computational grid at all locations in space and all times or frequencies. Therefore, there is no a priori separation that can be transferred to the output image. In this situation, the separation can be constructed by local translations between the two wavefields, either in space (Rickett and Sava, 2002; Sava and Fomel, 2005) or in time (Sava and Fomel, 2006) or in space and time. This separation essentially represents local crosscorrelation lags between the source and receiver wavefields. Thus, an extended crosscorrelation imaging condition (EIC) defines the image as a function of space and crosscorrelation lags in space $\lambda$ and time $\tau$:

$$ r(x, \lambda, \tau) = \sum_{\text{shots}} \sum_{\omega} e^{2i\omega\tau} u_s(x - \lambda, \omega) u_r(x, \omega). $$

Equation 6 represents a special case of equation 7 for $\lambda = 0$ and $\tau = 0$. The EIC defined by equation 7 can be used to analyze the accuracy of wavefield reconstruction.

MOVEOUT ANALYSIS

If we restrict the observation to the immediate vicinity of the reflection point, which means that we consider the moveout surface in a small range of lags, we can approximate the typical irregular wavefront in complex media by a plane, although the shapes of wavefronts are arbitrary in heterogeneous media. Following the derivation of Yang and Sava (P. Sava, personal communication, 2009) and using the geometry shown in Figure 2, the source and receiver plane waves are described by

$$ n_s \cdot x = v(\theta) t, $$

$$ n_r \cdot (x - 2dn/n) = v(\theta) t, $$

where $n_s$ and $n_r$ are the unit direction vectors of the source and receiver plane waves, respectively; $n$ is the unit vector orthogonal to the reflector at the image point; and vector $x$ indicates the image point position. Defined as the phase velocity in the locally homogeneous medium around the reflection point, and $v$ is identical for both wavefields. Half the scattering angle is equal to $\theta$ (reflection angle).

We can also obtain the shifted source and receiver plane waves by introducing the space and time lags

$$ n_s \cdot (x + \lambda) = v(\theta)(t + \tau), $$

$$ n_r \cdot (x - 2dn - \lambda) = v(\theta)(t - \tau). $$

Solving the system of equations 10 and 11 leads to the expression

Figure 2. Schematic plot of the reflection geometry for a tilted transversely isotropic medium with a tilt in the dip direction. The incident and reflection angles are the same as those given by the group angle $\phi$. Here, $s$ and $r$ correspond, respectively, to the source and receiver locations; $d$ is the distance between the source and reflector in the direction given by unit vector $n$ normal to the reflector with direction described by unit vector $q$; and $\alpha$ and $\beta$ are, respectively, the unit vector directions for each of the source and receiver rays with ray angle $\phi$ measured from the normal to the reflector.
which characterizes the moveout function (surface) of space and
time lags at a common-imaging point.
Furthermore, we have the following relations for the reflection
geometry:
\[ \mathbf{n}_s - \mathbf{n}_r = 2\mathbf{n}\cos \theta, \]  
(13)  
\[ \mathbf{n}_s + \mathbf{n}_r = 2\mathbf{q}\sin \theta, \]  
(14)  
where \( \mathbf{n} \) and \( \mathbf{q} \) are unit vectors normal and parallel to the reflection
plane, respectively, and \( \theta \) is the reflection angle. Vector \( \mathbf{q} \) characterizes
the line representing the intersection of the reflection and the reflec-
tor planes. Combining equations 12–14, we obtain the moveout
function for plane waves:
\[ z(\lambda, \tau) = d_0 - \frac{\tan \theta (\mathbf{q} \cdot \lambda)}{n_z} - \frac{\nu(\theta)\tau}{n_z\cos \theta}. \]  
(15)  
The quantity \( d_0 \) is defined as
\[ d_0 = d - (\mathbf{c} \cdot \mathbf{n}) \]  
(16)  
and represents the depth of the reflection corresponding to the chosen
common-image-gather location. This quantity is invariant for
different plane waves and thus is assumed to be constant here. The
vector \( \mathbf{c} \) is along the earth’s surface given by \( (x, y, 0) \).
When incorrect velocity is used for imaging and thus an inaccu-
rate reflection angle is assumed, we can obtain the moveout function
based on the analysis in the preceding section,
\[ z(\lambda, \tau) = d_{0f} - \frac{\tan \theta_m (\mathbf{q}_m \cdot \lambda)}{n_{mz}} - \frac{v_m(\theta_m)(\tau - t_{df})}{n_{mz}\cos \theta_m}, \]  
(17)  
where \( d_{0f} \) is the focusing depth of the corresponding reflection point;
\( v_m \) is the migration velocity; \( t_{df} \) is the focusing error; and \( \mathbf{n}_m \) and \( \mathbf{q}_m \) are
vectors normal and parallel to the migrated reflector, respective-
ly. Equation 17 describes the extended images moveout for a single

seismic experiment. It is essentially identical to the similar formula
(P. Sava, personal communication, 2009) for isotropic media but for
using the phase velocity instead of the isotropic velocity.

ANGLE DECOMPOSITION

In downward-continuation methods, theoretical analysis of angle
gathers can be reduced to analyzing the geometry of reflection in the
simple case of a dipping reflector in a locally homogeneous medium
(Sava and Fomel, 2005). The behavior of plane waves in the vicinity
of the reflection point is sufficient for deriving relationships for local
reflection traveltimes derivatives (Goldin, 1986). The geometry of the
reflection raypaths is depicted in Figure 2.

Using the standard notations for the source and receiver coordi-
nates, \( s = x + \lambda \) and \( r = x - \lambda \), the traveltime from a source to a re-
ceiver is a function of all spatial coordinates of the seismic experi-
ment \( t = t(s, \lambda) \). Differentiating \( t \) with respect to all components of
the vectors \( x \) and \( \lambda \) and using the standard notations to represent slownesses \( p_x = \nabla_x \), where \( \alpha = (x, \lambda, s, r) \), we can write
\[ p_x = p_x + p_s, \]  
(18)  
\[ p_s = p_s - p_x \]  
(19)  

By analyzing the geometric relations of various vectors at an image
point (Figure 3), we can write the following trigonometric expres-
sions:
\[ |p_{\lambda}|^2 = |p_x|^2 + |p_s|^2 - 2|p_x||p_s|\cos(2\theta), \]  
(20)  
\[ |p_s|^2 = |p_x|^2 + |p_s|^2 + 2|p_x||p_s|\cos(2\theta). \]  
(21)  

Defining \( k_s \) and \( k_\lambda \) as the position and lag (or offset) wavenumber
vectors, we can replace \( p = k/\omega \). Using the trigonometric identities
\[ 1 - \cos(2\theta) = 2\sin^2(\theta), \]  
(22)  
\[ 1 + \cos(2\theta) = 2\cos^2(\theta) \]  
(23)  
and assuming \( |p_s| = |p_x| = s(\theta) \), where \( s(\theta) = 1/v_m(\theta) \) is the phase
slowness as a function of phase angle at an image location, we obtain
the following relations:
\[ |k_\lambda|^2 = (2\cos(\theta)\sin(\theta))^2, \]  
(24)  
\[ |k_s|^2 = (2\cos(\theta)\cos(\theta))^2, \]  
(25)  
\[ \mathbf{k}_\lambda \cdot \mathbf{k}_s = 0. \]  
(26)  

We can eliminate from equations 24 and 25 the dependence on the
depth axis and obtain an angle-decomposition formulation prior to
imaging. Thus, if we eliminate \( k_x \) and \( k_{\lambda_x} \), we obtain the expression
\[ (k_s^2 + k_{\lambda_y}^2)(2\cos(\theta)\sin(\theta))^2 + (k_{\lambda_x}^2 + k_{\lambda_y}^2)(2\cos(\theta)\cos(\theta))^2 \]  
\[ = (k_xk_{\lambda_x} - k_yk_{\lambda_y})^2 + (2\cos(\theta)\sin(\theta))^2(2\cos(\theta)\cos(\theta))^2. \]  
(27)  

The quadratic equation 27 can be used to map data from space-lag
gathers \( (k_x, k_{\lambda_y}) \) into angle coordinates \( \theta \) prior to imaging. For 2D
data, equation 27 takes the simpler form

Figure 3. Schematic plot depicting the relation between source and
receiver ray-parameter vectors \( (p_x, p_s) \) and that of the space-lag
and position \( (p_s, p_s) \). Angle \( \theta \) corresponds to the phase-angle di-
rection of the plane wave.
\[ k^2_s (2 \omega s (\theta) \sin \theta)^2 + k^2_x (2 \omega s (\theta) \cos \theta)^2 \]
\[ = (2 \omega s (\theta) \sin \theta)^2 (2 \omega s (\theta) \cos \theta)^2, \]
which can be solved for an explicit mapping of \( k_s \) to \( \theta \).

Note that the angle-decomposition formula 28 reduces to a form simpler than that shown by Alkhalifah and Fomel (2009) for VTI media. This angle decomposition is particularly useful in imaging via downward continuation, as discussed next.

**DOWNDOWN CONTINUATION**

The angle decomposition discussed in the preceding section allows us to produce angle gather after downward continuation in DTI media. Wavefield reconstruction for multioffset migration based on the one-way wave equation under the survey-sinking framework (Claerbout, 1985) is implemented by recursive phase shift of prestack wavefields

\[ u_{z+\Delta z} (m,h) = e^{-ik\Delta z} u_z (m,h), \]

followed by extraction of the image at time \( \tau = 0 \). Here, \( m \) and \( h \) represent the midpoint and half-offset coordinates, which are equivalent with the space and space-lag variables discussed earlier but are restricted to the horizontal plane. In equation 29, \( u_z (m,h) \) represents the acoustic wavefield for a given frequency \( \omega \) at all midpoint positions \( m \) and half-offsets \( h \) at depth \( z \), and \( u_{z+\Delta z} (m,h) \) represents the same wavefield extrapolated to depth \( z + \Delta z \). The phase-shift operation uses the depth wavenumber \( k_z \), which is defined in two dimensions by the DSR equation 4 as follows:

\[ k_z = \sqrt{\omega^2 s^2 (\theta) - (k_m - k_h)^2} = \sqrt{\omega^2 s^2 (\theta) - (k_m + k_h)^2}, \]

where \( k_s \) is equivalent to \( k_x \).

Figure 4a shows \( k_x \) as a function of the midpoint wavenumber and the reflection angle for a DTI model characterized by \( \eta = 0.3 \). As expected, the range of angles reduces with increasing dip angle (or \( k_m \)). The phase shift per depth is maximum for horizontal reflector \( (k_m = 0) \) and zero offset (equivalent with \( \theta = 0 \)). Figure 4b shows the difference between the \( k_x \) for this DTI model and that for an isotropic model with velocity equal to \( v = 1.8 \) km/s. As expected, for zero reflection angle, the DTI phase shift is given by the isotropic operator, as we discussed earlier. For the nonzero-offset case, the difference increases with the reflection angle.

To use \( k_x \) in this form, we need to evaluate the reflection angle \( \theta \) in the downward-continuation process as the angle gather defines the phase angle needed for equation 30. Equation 28 provides a one-to-one relation between angle gather and the offset wavenumber. However, to ensure an explicit evaluation, we formulate the problem as a mapping process to find the wavefield for a given offset wavenumber \( k_x \) that corresponds to a particular reflection angle. As a result, we can devise an algorithm for downward continuation for a wavefield with sources and receivers at depth \( z \) as follows:

- For a given reflection angle, use equation 28 to find the corresponding \( k_x \). 
- Using \( k_x (\theta) \), map \( u(k_m,k_h,\omega,z) \) to \( u(k_m,\theta,\omega,z) \) (the angle decomposition).
- Apply the imaging condition by summing over frequencies \( \omega \) to obtain image angle gatherers.
- Apply phase shift to the wavefield \( u(k_m,k_x,\omega,z) \) to obtain \( u(k_m,k_x,\omega,z + \Delta z) \) by equation 29 using the depth wavenumber given by equation 30.
- Repeat the steps for depth \( z = z + \Delta z \).

The process provides image angle gather in DTI media. This approach also allows us to better treat illumination as we downward-continue while keeping the sampling in reflection angle uniform.

**DOMAIN OF APPLICABILITY**

The reflector-dip TI tilt constraint introduced here for imaging simplification is not applicable everywhere. In fact, setting such a constraint inherently suggests smooth interfaces because it is impossible to impose such a constraint on a diffractor. The smooth interface is also required by the plane-wave assumption used in the angle-gather development. Thus, we are suggesting DTI as a model-development tool in which this suggested assumption is typically used in areas such as the Gulf of Mexico. Therefore, the DTI model must be extracted from reflections that adhere to this constraint, which do not include salt flanks. This is convenient in building the model around the salt and even subsalt. Although the top-of-salt reflections do not adhere to this constraint, the bottom reflections do because isotropy is a special case of DTI with the anisotropy parameters \( \eta = \delta = 0 \). In addition, subsalt reflections also satisfy this constraint whether such reflections are within isotropic media or an assumed DTI condition. It does not matter that the rays may have traveled through media that is VTI or general TI. What matters is the behavior at the reflection point for applications such as DTI imaging or angle-gather analysis.

**CONCLUSIONS**

Constraining the tilt of a transversely isotropic medium DTI allows for explicit formulations of plane waves around the scattering point. These formulations form the basis for angle decomposition or the moveout analysis in the extended image condition domain. As a result, DTI is a convenient model for anisotropy parameter estimation in media in which such models are applicable.
This model also allows us to use the general TI assumption in a simplified form that better fits the information embedded in the recorded data.

ACKNOWLEDGMENTS

We are grateful to KAUST and to the sponsors of the Center for Wave Phenomena at Colorado School of Mines for their support. We thank the associate editor and the reviewers for their critical review of this paper.

REFERENCES